

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
13711

In Re Application Of: **Joseph A. Rothnagel, et al.**

Serial No.
09/880,253

Filing Date
June 13, 2001

Examiner
Unassigned

Group Art Unit
1645

Title: **EXPRESSION MODULATING SEQUENCES**

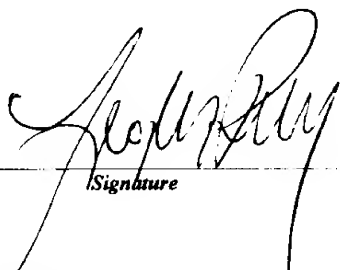
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Claiming Small Entity Status and Request For Refund of Excess of Previously Submitted Fee

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: **August 10, 2001**

**Leopold Presser
Registration No. 19,827
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343**

I certify that this document and fee is being deposited on **August 10, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

CC:

#3/ Reg for
Refund
08-22-01

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Joseph A. Rothnagel, et al. **Examiner:** Unassigned

Serial No.: 09/880,253

Art Unit: 1645

Filed: June 13, 2001

Docket: 13711

For: EXPRESSION MODULATING
SEQUENCES

Dated: August 10, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Refund Section, Accounting Division
Office of Finance

CLAIMING SMALL ENTITY STATUS AND REQUEST FOR
REFUND OF EXCESS OF PREVIOUSLY SUBMITTED FEE

Sir:

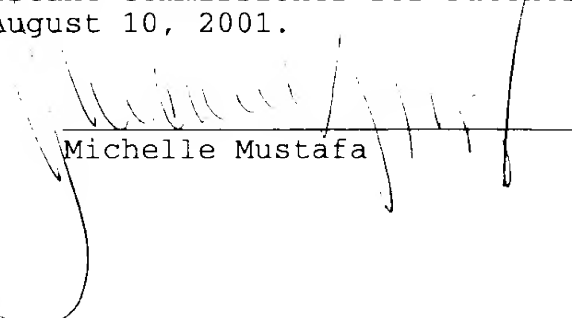
Small Entity is entitled to be asserted with respect to
the above patent application Serial No. 09/880,253 filed on June
13, 2001.

In view of the above, Applicants request a refund of
the excess of the filing fees paid on June 13, 2001 in the

CERTIFICATE OF MAILING UNDER C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231 on August 10, 2001.

Dated: August 10, 2001


Michelle Mustafa

above-identified case. The refund requested is the excess or difference between:

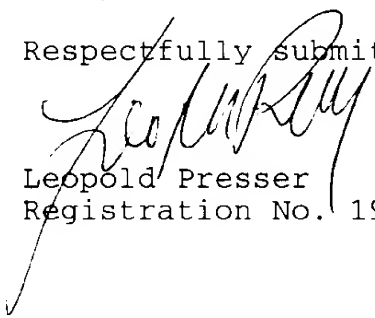
the fee paid \$4,054.00

less the amount due for a small entity \$2,027.00

excess = \$2,027.00

Applicants, therefore, request a refund of \$2,027.00 to be credited to Deposit Account No. 19-1013/SSMP.

Respectfully submitted,


Leopold Presser
Registration No. 19,827

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LP/XZ:ab